

# Feasibility of Venovenous Extracorporeal Membrane Oxygenation Without Systemic Anticoagulation

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**Background.** Venovenous extracorporeal membrane oxygenation (ECMO) is increasingly being used for acute respiratory distress syndrome and as a bridge to lung transplantation. After initiation of venovenous ECMO, systemic anticoagulation therapy is traditionally administered and can cause bleeding diathesis. Here, we investigated whether venovenous ECMO can be administered without continuous systemic anticoagulation administration for patients with acute respiratory distress syndrome.

**Methods.** This is a retrospective review of an institutional ECMO database. We included consecutive patients from January 2015 through February 2019. Overall, 38 patients received low levels of continuous systemic anticoagulation (AC+) whereas the subsequent 36 patients received standard venous thromboprophylaxis (AC-). Published Extracorporeal Life Support Organization guidelines were used for the definition of outcomes and complications.

**Results.** Overall, survival was not different between the two groups ( $P = .58$ ). However, patients in the AC+

group had higher rates of gastrointestinal bleeding (28.9%, vs AC- group 5.6%;  $P < .001$ ). The events per patient-day of gastrointestinal bleeding was 0.00025 in the AC- group and 0.00064 in the AC+ group ( $P < .001$ ). In addition, oxygenator dysfunction was increased in the AC+ group (28.9% and 0.00067 events per patient-day, vs AC- 11.1% and 0.00062 events per patient-day;  $P = .02$ ). Furthermore, the AC+ group received more transfusions: packed red blood cells, AC+ group 94.7% vs AC- group 55.5% ( $P < .001$ ); fresh frozen plasma, AC+ 60.5% vs AC- 16.6% ( $P = .001$ ); and platelets, AC+ 84.2% vs AC- 27.7% ( $P < .001$ ). There was no circuit thrombosis in either groups throughout the duration of ECMO support.

**Conclusions.** Our results suggest that venovenous ECMO can be safely administered without continuous systemic anticoagulation therapy. This approach may be associated with reduced bleeding diathesis and need for blood transfusions.

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Venovenous (VV) extracorporeal membrane oxygenation (ECMO) is increasingly used in the management of severe acute respiratory distress syndrome and as a bridge to lung transplantation.<sup>1,2</sup> However, patients placed on VV-ECMO traditionally receive continuous systemic anticoagulant therapy, which increases the risk of bleeding diathesis. For instance, in the recently published EOLIA trial, 46% of the patients had bleeding complications such as gastrointestinal bleeding,<sup>3</sup> increasing their risk of mortality and morbidity while being supported on VV-ECMO. In patients being bridged

to transplantation, bleeding results in blood transfusions that increase sensitization to histocompatibility antigens, posing immunologic challenges.

The anticoagulation management guidelines for VV-ECMO are largely extrapolated from cardiopulmonary bypass literature and personal experiences. Recent studies suggest that lower levels of systemic anticoagulation can be used to support patients with VV-ECMO.<sup>4,5</sup> However, the feasibility and safety of VV-ECMO without continuous systemic anticoagulation is only published in the form of anecdotal reports.<sup>6-9</sup> Advances in circuit technology, including heparin-coated tubing, newer polymethylpentene oxygenators, and

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centrifugal pumps, have reduced the thrombogenicity of ECMO.<sup>10-13</sup> We postulated that these improvements may obviate the need for continuous systemic anticoagulation during VV-ECMO therapy. Accordingly, we adopted a programmatic approach of administering VV-ECMO without anticoagulation therapy, and we report our experience here. Specifically, the goal of our study was to determine the safety and feasibility of VV-ECMO without continuous systemic anticoagulant therapy. Our data suggest that prolonged VV-ECMO support can be safely performed without therapeutic doses of anticoagulant agents, thereby reducing bleeding complications as well as blood transfusions. Given that hemorrhagic complications significantly contribute to the morbidity and mortality associated with VV-ECMO, we postulate that our approach could significantly improve outcomes associated with mechanical life support.

## Patients and Methods

### Study Subjects

Patient data were collected prospectively in our ECMO database, and for the purposes of the study, a retrospective analysis was performed using the database. We included consecutive adult patients placed on VV-ECMO at our medical center between January 2015 and February 2019. A total of 23 patients were excluded from this study to avoid confounding effects. These included patients with a pre-ECMO condition such as deep vein thrombosis, pulmonary embolism, or a hypercoagulable state that would necessitate therapeutic anticoagulation. We also excluded the patients who required conversion to venoarterial ECMO or venoarterial-venous ECMO.

All patients received anticoagulant treatment ( $n = 38$ ) with either heparin or bivalirudin drip, before our change in practice in June 2017. The target anticoagulation levels for these patients included activated clotting time of 160 to 180 seconds or activated partial thromboplastin time between 50 and 70 seconds, as suggested by conventional guidelines and recent publications.<sup>14-17</sup> Patients managed without systemic anticoagulation ( $n = 36$ ), after June 2017, did not receive continuous anticoagulation therapy and were not monitored with bleeding measurements such as activated clotting time or activated partial thromboplastin time. As part of standard intensive care unit management, patients not receiving continuous systemic anticoagulant agents only received unfractionated heparin (5000 U given subcutaneously every 8 hours) for deep venous thrombosis prophylaxis.

To avoid thrombotic complication in the ECMO circuit, flow was maintained at least 3 to 3.5 L/min, consistent with our recent reports demonstrating the feasibility of using VV-ECMO without anticoagulation.<sup>7,18</sup> The ECMO flows are maintained at a speed to allow normal blood oxygenation and carbon dioxide levels while minimizing hemolysis. The typical flow rates were 3.5 to 4.5 L/min for both groups. For both groups, transfusion thresholds included platelets less than 50,000/mL, hemoglobin less than 7 g/dL, or hemodynamic instability in the setting of

active blood loss. Different cannulation strategies—internal jugular vein to femoral vein cannulation vs ProtekDuo (CardiacAssist, Pittsburgh, PA) cannulation—were used in patients depending on surgeon preference. However, the anticoagulation strategy was not different between the cannulation strategies as they were managed using the same ECMO circuit. The VV-ECMO circuit included Quadrox iD adult (7.0) oxygenator (Maquet, Rastatt, Germany) and Rotaflow pump (Maquet). Components of the circuit including the tubing and oxygenator were heparin coated but the cannulas were not.

At our institution, patients with respiratory failure are considered for ECMO if they fail to achieve satisfactory gas exchange ( $P_{aO_2}$  greater than 55 mm Hg, oxygen saturations greater than 88%, pH higher than 7.2, with plateau pressures less than 35) despite lung protective mechanical ventilation and recruitment maneuvers with neuromuscular blockade, consistent with our previous reports.<sup>18</sup> The decision to cannulate is made by a multidisciplinary ECMO team including pulmonologists, thoracic surgeons, ECMO specialists, and intensivists, using a teleconference line. This study was approved by the Northwestern University Institutional Review Board (STU00207250). However, the need for patient consent for data collection was waived by the Board as this was a retrospective study.

### Definitions of Complications

Postcannulation complications were defined as follows. (1) Neurologic dysfunction was a new neurologic deficit associated with abnormal neuroimaging findings; cases of neurologic dysfunction were classified as either ischemic or hemorrhagic based on imaging findings. (2) Gastrointestinal bleeding was one or more of the following: guaiac-positive stool, hematemesis, melena, active bleeding at the time of endoscopy or colonoscopy, or blood within the stomach at endoscopy or colonoscopy. (3) Infection was defined as bacteremia or pneumonia with a positive bronchoalveolar lavage culture. (4) Acute kidney injury was defined using the “risk, failure, loss of kidney function, and end-stage kidney disease” (RIFLE) classification.<sup>19</sup> For the amount of blood transfusion, units of packed red blood cells, fresh frozen plasma, and platelets transfusion were collected between VV-ECMO initiation and decannulation. Daily inspection of oxygenators with special regard to thrombotic scaling was performed by a perfusionist. Postoxygenator blood gas analysis was performed every 24 hours, and indication for change of the oxygenator was postoxygenerator  $P_{aO_2}$ /fraction of inspired oxygen less than 250 mm Hg with clinical deterioration of pulse oximetry measured oxygen saturation.

### Statistical Analysis

Patient demographics, postoperative complications, and outcomes were compared between the systemic anticoagulation-free group and the systemic anticoagulation group. Continuous variables were compared using Student's *t* test and reported as median (interquartile range). Categorical variables were compared using

Table 1. Clinical Characteristics of Patients Before Initiation of Venovenous Extracorporeal Membrane Oxygenation

Variable	Overall (n = 74)	Anticoagulation Free (n = 36)	Anticoagulation (n = 38)	P Value
Support days	7.2 ± 11.4	4.6 ± 5	12.7 ± 16.9	<.001
Age, y	47 ± 23.3	47 ± 21.9	48.1 ± 27.3	.41
Female	35 (47.2)	13 (36.1)	22 (57.8)	.04
Body mass index, kg/m <sup>2</sup>	27.6 ± 11.1	27.5 ± 11.2	27.7 ± 11.2	.57
COPD	9 (12.1)	5 (13.8)	4 (10.5)	.72
Chronic kidney disease	14 (18.9)	6 (16.7)	8 (21)	.58
Dialysis	5 (6.7)	2 (5.6)	3 (7.8)	.67
RESP score	1 ± 4	1.6 ± 4	0 ± 3	.12
Cannulation site				
Internal jugular-femoral	47 (63.6)	27 (75)	20 (52.6)	.06
Protek	27 (36.4)	9 (25)	18 (47.4)	
Ventilation, h	67.7 ± 87.5	33.2 ± 8.5	90.2 ± 131.5	.11
Laboratory				
Hemoglobin, g/dL	10.5 ± 3.5	10.7 ± 3.6	10.4 ± 2.8	.79
WBC, 1000/mm <sup>3</sup>	13 ± 7.9	12.1 ± 8.2	13.3 ± 6.9	.19
Platelets, 1000/mm <sup>3</sup>	195 ± 163.7	197.5 ± 150.7	192.0 ± 164.5	.71
Albumin, g/dL	3 ± 1.1	3.0 ± 1.2	3.0 ± 0.8	.43
INR	1.5 ± 0.3	1.3 ± 0.3	1.3 ± 0.2	.06
PT	14.1 ± 3.3	12.9 ± 3.4	1.3 ± 2.2	.15
PTT	30 ± 7.7	27.8 ± 7.6	30.8 ± 5.9	.96

Values are median ± interquartile range or n (%).

COPD, chronic obstructive pulmonary disease; INR, international normalized ratio; PT, prothrombin time; PTT, partial thromboplastin time; RESP, Respiratory ECMO Survival Prediction; WBC, white blood cell.

$\chi^2$  test and reported as number (percentage). The Kaplan-Meier method was used to estimate survival, and a log rank test was performed to compare survival between the two groups. Cox proportional hazards regression was used to derive hazard ratios and 95% confidence intervals. All *P* values less than 0.05 were accepted as statistically significant. To build our models, we performed a univariate analysis and included all predictors if the test had a *P* value of 0.2 or less. To assess the overall goodness of fit, we used Gronnesby and Borgan tests. Statistical analyses were performed using Stata/MP14 (StataCorp, College Station, TX).

## Results

### Study Population

During the study period, 74 patients were placed on VV-ECMO (Table 1, Supplemental Table 1). Table 1 shows pre VV-ECMO characteristics of the study cohort. Thirty-six patients were managed without systemic anticoagulation (AC-) and 38 patients received systemic anticoagulation (AC+). There were no significant differences in patient characteristics between the two groups, except for sex (*P* = .04). However, the AC+ group had a significantly longer duration of support (AC+ group 12.7

Table 2. Etiology of Lung Failure

Variable	Overall (n = 74)	Anticoagulation Free (n = 36)	Anticoagulation (n = 38)	P Value
Bacterial pneumonia	18	11	7	.22
Worsening of ILD	14	6	8	.63
Lung transplant-related PGD	13	4	9	.15
Viral pneumonia	7	3	4	.74
Worsening of COPD	2	1	1	.96
Pulmonary artery hypertension	2	0	2	.16
Pulmonary embolism	2	0	2	.16
Chest trauma	2	1	1	.96
Others <sup>a</sup>	14	10	4	.11

<sup>a</sup>Including pancreatitis-associated respiratory failure, hepatitis-related respiratory failure, transfusion-related acute lung injury, and airway obstruction. COPD, chronic obstructive pulmonary disease; ILD, interstitial lung disease; PGD, primary graft dysfunction.

Table 3. Incidence of Adverse Events During Venovenous Extracorporeal Membrane Oxygenation Support

Adverse Event	Anticoagulation Free (n = 36; 225 days)			Anticoagulation (n = 38; 780 days)			P Value
	Patients <sup>a</sup>	Events	EPPD	Patients <sup>a</sup>	Events	EPPD	
Acute kidney injury	11 (33.3)	...	...	17 (44.7)	...	...	.13
Dialysis	11 (33.3)	...	...	12 (31.5)	...	...	.86
Neurologic dysfunction	2 (5.6)	2	0.00025	4 (10.4)	4	0.00013	.42
IND	0 (0)	0	...	2 (5.2)	2	0.00007	.16
HND	2 (5.6)	2	0.00025	2 (5.2)	2	0.00007	.97
GI bleeding	2 (5.6)	2	0.00025	11 (28.9)	19	0.00064	<.001
Oxygenator exchange	4 (11.1)	5	0.00062	12 (31.5)	27	0.00091	.03

<sup>a</sup>Values are n (%).

EPPD, event per patient per day; GI, gastrointestinal; HND, hemorrhagic neurologic dysfunction; IND, ischemic neurologic dysfunction.

± 20.3 days vs AC− group 4.6 ± 6.1 days,  $P < .001$ ). The etiologies of lung failure are shown in Table 2 and were not significantly different between the two groups. The median follow-up since the initiation of ECMO for the entire cohort, AC+ group, and AC− group was, respectively, 116.0 ± 191 days, 97.5 ± 197 days, and 126 ± 184.2 days ( $P = .80$ ).

#### Complication Rates and Mortality

We compared postcannulation complications between patients managed without systemic anticoagulation and patients who received systemic anticoagulation. After VV-ECMO initiation, 13 patients had gastrointestinal bleeding: 2 AC− group (5.6%), and 11 AC+ group (28.9%;  $P < .001$ ). The event per patient per day (EPPD) of gastrointestinal bleeding was 0.00025 in the AC− group and 0.00064 in the AC+ group ( $P < .001$ ). Oxygenator dysfunction occurred 5 times (11.1%, 0.00062 EPPD) in the AC− group and 20 times (28.9%, 0.00067 EPPD) in the AC+ group ( $P = .02$ ). There were no significant differences between the two groups in the incidence of acute

kidney injury (33.3% vs 44.7%,  $P = .13$ ), hemodialysis use (33.3% vs 31.5%,  $P = .86$ ), and neurologic dysfunction (5.6% vs 10.4%,  $P = .42$ ; Table 3). The mortality rates at 30, 90, 180, and 365 days after VV-ECMO implantation were also not significantly different between the two groups ( $P = .58$ ; Figure 1, Table 4).

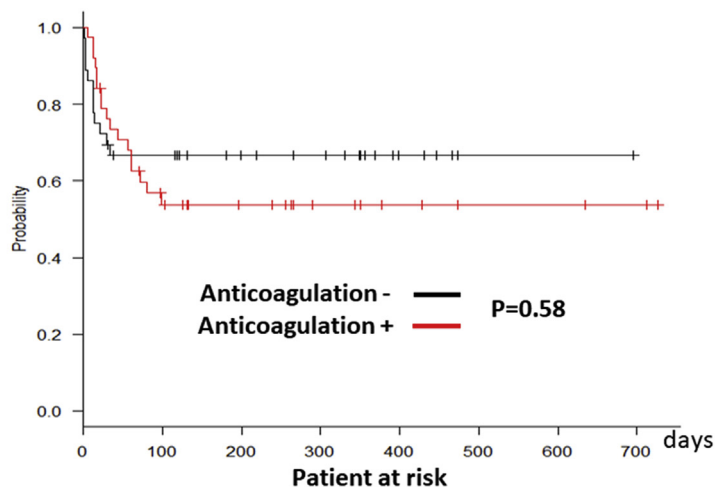
#### Blood Transfusion in Study Groups

The AC+ group received significantly more transfusions during VV-ECMO support: packed red blood cells, AC− group 20 (55.5%), AC+ group 36 (94.7%;  $P < .001$ ); fresh frozen plasma, AC− group 6 (16.6%), AC+ group 23 (60.5%;  $P = .001$ ); and platelets, AC− group 10 (27.7%), AC+ group 32 (84.2%;  $P < .001$ ; Table 5).

#### Cox Multivariable Logistic Regression Analysis of Association Between VV-ECMO and Outcome

All variables were placed in a univariate analysis and included all predictors if the test had a  $P$  value of 0.2 or less for a multiple Cox proportional hazards model with postoperative survival as the outcome (Supplemental Table 2).

Figure 1. Survival of patients undergoing venovenous extracorporeal membrane oxygenation with (+ [red line]) and without (− [black line]) systemic anticoagulation therapy.



	36	24	24	24	24	24	24	24
Anticoagulation -	36	24	24	24	24	24	24	24
Anticoagulation +	38	21	21	21	21	21	21	21

**Table 4. Survival After Venovenous Extracorporeal Membrane Oxygenation Support**

Survival Days	Anticoagulation Free (n = 36)	Anticoagulation (n = 38)	P Value
30	72.2	76.3	.89
60	69.4	68.4	.92
180	66.6	55.2	.44
365	66.6	55.2	.44

Values are percentages.

We found that body surface area of the patient, Respiratory ECMO Survival Prediction score, and low platelet counts before initiation of ECMO were independent predictors of postoperative survival (Table 6). However, anticoagulation use, or its lack thereof, did not emerge as an independent predictor of mortality in this modeling.

### Comment

We found that adult patients supported with VV-ECMO can be managed without continuous systemic anticoagulant therapy for prolonged periods. These patients did not have higher rates of thrombotic complications or death, but had lower rates of gastrointestinal bleeding and blood transfusions. In addition, the oxygenator failure rates were surprisingly higher among patients receiving anticoagulation therapy, even though activated clotting times was maintained between 160 and 180 seconds and activated partial thromboplastin time was maintained between 50 and 70 seconds according to the Extracorporeal Life Support Organization guidelines.<sup>20</sup> It is widely known that levels of anticoagulation vary, which might result in either increase or temporary withholding of anticoagulant agents.<sup>21</sup> These fluctuations and cessation/re-initiation cycle of the anticoagulant can potentially lead to a prothrombotic state, which might have caused the higher incidence of oxygenator clots observed in the group receiving anticoagulation therapy. Alternatively, the increased rates of oxygenator failure might be related to the greater duration of ECMO support observed among patients receiving anticoagulant agents.

Data from our study are consistent with a prior report by Krueger and colleagues<sup>5</sup> who reported that VV-ECMO with prophylactic dosage is feasible when applied in

patients without thrombotic events. However, as that was an observational study with no controls, it was difficult to interpret the reported outcomes. Previous studies have demonstrated that bleeding diathesis, such as gastrointestinal bleeding and hemorrhage stroke, is high among ECMO patients, with incidence rates approaching 60%, and is independently associated with worse survival.<sup>22-25</sup> Reports have also shown that the ECMO cannulation site is a common source of bleeding in patients undergoing ECMO,<sup>22,26,27</sup> which can be worsened by systemic anticoagulation therapy. However, we did not encounter any cannula site bleeding, perhaps related to our practice of placing a pursestring suture around the cannulas, which might mitigate this complication.

Bleeding complications require blood transfusion that is associated with several adverse effects to patients. In our study, patients managed by anticoagulation-free VV-ECMO received significantly fewer blood transfusions compared with patients in the control cohort. Indeed, our patients managed without systemic anticoagulation also received many fewer transfusions compared with the historic controls in previously published literature.<sup>25,28-30</sup> However, to achieve anticoagulation-free VV-ECMO, we believe that the flows should be kept at least 3 L/min to 3.5 L/min to reduce circuit clots. If there is consistent reduction in circuit flow due to hypovolemia, low-dose anticoagulation may be considered. Patients with an underlying prothrombotic state, such as heparin-induced thrombocytopenia, should not be considered for anticoagulation-free VV-ECMO. Moreover, patients with cardiac failure and intracardiac stasis should also not be managed using this approach. Finally, our findings cannot be generalized to ECMO circuits that do not have heparin coating.

Our study has some limitations. We studied a relatively small number of patients at a single-center, and that may limit the generalizability of our conclusions. Furthermore, our retrospective design is a potential source of bias. Finally, as our study is not a randomized trial, it can only demonstrate an association between systemic anticoagulation-free VV-ECMO and improved clinical outcomes. Nevertheless, our data suggest that prolonged VV-ECMO support can be safely performed without therapeutic doses of anticoagulant agents, thereby reducing bleeding complications and blood transfusions. Given that hemorrhagic complications significantly contribute to the morbidity and mortality associated with

**Table 5. Blood Transfusion During Venovenous Extracorporeal Membrane Oxygenation Support**

Event	Anticoagulation Free (n = 36; 225 days)				Anticoagulation (n = 38; 780 days)				P value
	Patients <sup>a</sup>	Units	UPP	UPPD	Patients <sup>a</sup>	Units	UPP	UPPD	
pRBC	20 (55.5)	120	3.33	0.014	36 (94.7)	955	25.1	0.051	<.001
FFP	6 (16.6)	12	0.33	0.0014	23 (60.5)	198	5.21	0.008	.001
Plt	10 (27.7)	105	2.91	0.012	32 (84.2)	366	9.63	0.018	<.001

<sup>a</sup>Values are n (%).

FFP, fresh frozen plasma; Plt, platelets; pRBC, packed red blood cells; UPP, unit per patient; UPPD, unit per patient per day.

Table 6. Cox Multivariate Logistic Regression Analysis Predictors of Postoperative mortality

Variable	HR	P value	95% CI
Age, y	1.02	.12	0.992-1.061
Body surface area, m <sup>2</sup>	0.11	.01	0.022-0.629
Chronic kidney disease	1.28	.23	0.847-1.937
RESP score	0.75	<.001	0.639-0.891
Cannulation site	0.67	.39	0.273-1.659
Laboratory			
Platelets, 1000/mm <sup>3</sup>	0.98	<.001	0.984-0.998
ALT, U/L	1	.63	0.997-1.004
Albumin, g/dL	0.73	.39	0.365-1.492

ALT, alanine aminotransferase; CI, confidence interval; HR, hazard ratio; RESP, Respiratory ECMO Survival Prediction.

VV-ECMO, we postulate that our approach could significantly improve outcomes associated with mechanical life support.

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